

## General Assembly

## Amendment

February Session, 2010

LCO No. 4954

\*SB0005204954SD0\*

Offered by:

SEN. PRAGUE, 19<sup>th</sup> Dist. SEN. CRISCO, 17<sup>th</sup> Dist. SEN. DEFRONZO, 6<sup>th</sup> Dist.

To: Subst. Senate Bill No. 52

File No. 5

Cal. No. 39

## "AN ACT CONCERNING A TASK FORCE TO STUDY HEALTH CARE FOR UNINSURED CHILDREN."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. Section 4-104 of the general statutes is repealed and the
- 4 following is substituted in lieu thereof (*Effective October 1, 2010*):
- 5 (a) Each private hospital, public hospital society or corporation
- 6 receiving state aid shall, upon the <u>written</u> demand of any patient who
- 7 has been treated in such hospital and after his discharge therefrom,
- 8 permit such patient, [or his physician or authorized attorney] the
- 9 patient's physician, the patient's attorney or any other person
- 10 <u>designated as the patient's health care representative</u> to examine the
- 11 hospital record, including the history, bedside notes, charts, pictures
- and plates kept in connection with the treatment of such patient, and
- 13 permit copies of such history, bedside notes and charts to be made by

such patient, [his physician or authorized attorney] the patient's physician, the patient's attorney or any other person designated as the patient's health care representative. Upon receipt of a written demand for examination of a hospital record, a private hospital, public hospital society or corporation receiving state aid shall permit such examination to occur not later than thirty days after the date of receipt of the written demand for examination.

(b) If any such hospital, society or corporation is served with a subpoena issued by competent authority directing the production of such hospital record in connection with any proceedings in any court, the hospital, society or corporation upon which such subpoena is served may, except where such record pertains to a mentally ill patient, deliver such record or at its option a copy thereof to the clerk of such court. Such clerk shall give a receipt for the same, shall be responsible for the safekeeping thereof, shall not permit the same to be removed from the premises of the court and shall notify the hospital to call for the same when it is no longer needed for use in court. Any such record or copy so delivered to such clerk shall be sealed in an envelope which shall indicate the name of the patient, the name of the attorney subpoenaing the same and the title of the case referred to in the subpoena. No such record or copy shall be open to inspection by any person except upon the order of a judge of the court concerned, and any such record or copy shall at all times be subject to the order of such judge. Any and all parts of any such record or copy, if not otherwise inadmissible, shall be admitted in evidence without any preliminary testimony, if there is attached thereto the certification in affidavit form of the person in charge of the record room of the hospital or his authorized assistant indicating that such record or copy is the original record or a copy thereof, made in the regular course of the business of the hospital, and that it was the regular course of such business to make such record at the time of the transactions, occurrences or events recorded therein or within a reasonable time thereafter. A subpoena directing production of such hospital record shall be served not less than twenty-four hours before the time for

21

22

23

24

25

26

27

28 29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

48 production, provided such subpoena shall be valid if served less than

- 49 twenty-four hours before the time of production if written notice of
- 50 intent to serve such subpoena has been delivered to the person in
- 51 charge of the record room of such hospital not less than twenty-four
- 52 hours nor more than two weeks before such time for production.
- Sec. 2. Section 4-105 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2010*):
  - (a) If any patient who has received treatment in [any such hospital] a private hospital, public hospital society or corporation receiving state aid, after his discharge [from such hospital] therefrom, has made written [application] demand to such hospital, hospital society or corporation for permission to examine his record as such patient in such hospital and has been refused permission to examine or copy the same, such patient, [may] patient's physician, patient's attorney or any other person designated as the patient's health care representative may: (1) File a written complaint with the Department of Public Health setting forth the facts that are alleged to constitute a violation of section 4-104, as amended by this act; or (2) file a written motion addressed to any judge of the Superior Court, praying for a disclosure of the contents of such hospital record relating to such patient and for a production of the same before such judge. [Upon such application being filed,]
- 70 (b) In the event that a patient or a person designated to act on behalf 71 of a patient in accordance with section 4-104, as amended by this act, files a complaint with the Department of Public Health, pursuant to 72 73 subsection (a) of this section, the commissioner shall conduct a 74 hearing, in accordance with the provisions of chapter 54, on the 75 allegations contained in the complaint. If after such hearing the 76 commissioner finds that there has been a substantial failure by such 77 hospital, hospital society or corporation to comply with the 78 requirements of section 4-104, as amended by this act, the 79 commissioner: (1) Shall order that the hospital record be disclosed to 80 the complainant not later than five calendar days following the date of

55

56

57 58

59

60

61 62

63

64

65

66

67

68

81 the decision; and (2) may impose a civil penalty of not more than five 82 thousand dollars against such hospital, hospital society or corporation. 83 In the event that the commissioner finds that any substantial failure to 84 comply with the requirements of section 4-104, as amended by this act, 85 relates to a hospital record that contains information concerning an adverse event, as defined in section 19a-127n, as amended by this act, 86 87 the commissioner may impose a civil penalty of not more than ten thousand dollars against such hospital, hospital society or corporation. 88 89 In the case of a continuing violation, each day of the continuance of the violation shall be deemed a separate and distinct offense. 90

- (c) In the event that a patient or a person designated to act on behalf of a patient in accordance with section 4-104, as amended by this act, files a written motion praying for disclosure of the contents of the hospital record relating to such patient with the Superior Court, the judge to whom the same has been presented shall cause reasonable notice to be given to such hospital, hospital society or corporation of the time when and place where such petition will be heard, and such judge, after due hearing and notice, may order the officer authorized to act in the capacity of manager of such hospital to produce before him and deliver into his custody the history, bedside notes, charts, pictures and plates of such patient for the purpose of being examined or copied by such patient, his physician or authorized attorney. Each officer of any hospital having custody of the history, bedside notes, charts, pictures or plates of any patient therein, who refuses to produce such record before such judge, pursuant to the provisions of this section, shall be fined not more than [one hundred] ten thousand dollars or imprisoned not more than six months or both.
- Sec. 3. Subdivision (3) of section 52-146f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2010*):
- 111 (3) Except as provided in section 17b-225, the name, address and 112 fees for psychiatric services to a patient may be disclosed to 113 individuals or agencies involved in the collection of fees for such

91

92

93

94

95 96

97

98

99

100

101

102103

104

105

106

services. In cases where a dispute arises over the fees or claims or where additional information is needed to substantiate the fee or claim, the disclosure of further information shall be limited to the following: (A) That the person was in fact a patient; (B) the diagnosis; (C) the dates and duration of treatment; and (D) a general description of the treatment, which shall include evidence that a treatment plan exists and has been carried out and evidence to substantiate the necessity for admission and length of stay in a health care institution or facility. If further information is required, the party seeking the information shall proceed in the same manner provided for hospital patients in <u>subdivision (2) of subsection (a) of section 4-105, as</u> amended by this act."

This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2010	4-104
Sec. 2	October 1, 2010	4-105
Sec. 3	October 1, 2010	52-146f(3)